# Counterexample-Guided Synthesis of Safety Contracts

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## Overview

- Scalable verification and safety guarantees
- Scalability with respect to
  - Space: size of road network
  - Fleet: number of vehicles
  - Specification: rules of the roads
  - Traffic: driving scenarios (NEW!)

### • Vision:

"Provide long-term safety guarantees for autonomous vehicle deployment"



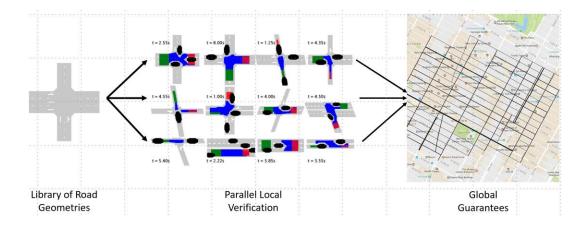




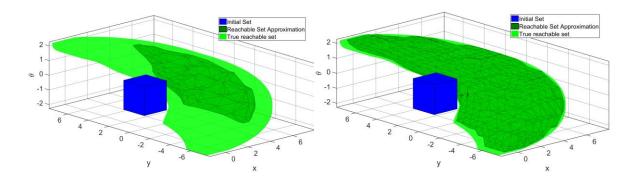


## Prior Work

• Scalable verification through assume-guarantee contracts



[1] Liebenwein, Lucas et al. "Compositional and Contract-based Verification for Autonomous Driving on Road Networks." International Symposium on Robotics Research (ISRR), 2017, Puerto Varas, Chile • Sampling-based reachability analysis



[2] Liebenwein<sup>\*</sup>, Lucas, Cenk Baykal<sup>\*</sup>, et al. "Sampling-Based Approximation Algorithms for Reachability Analysis with Provable Guarantees." Proceedings of Robotics: Science and Systems, 2018, Pittsburgh, Pennsylvania

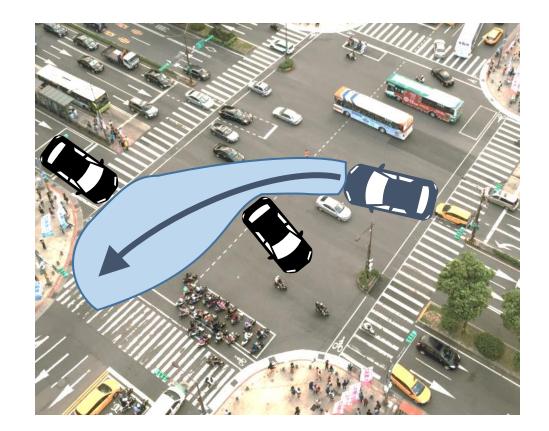






# Safety Contracts

- Use easy-to-implement, explainable contracts
- Contract = set of state space constraints
- Contracts help to guard against other traffic participants



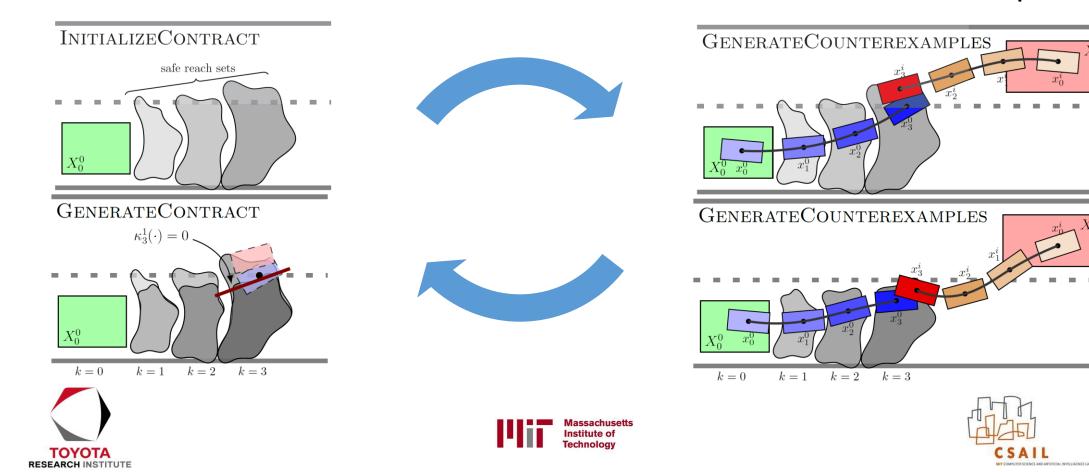








# Candidate contracts with reachability analysis

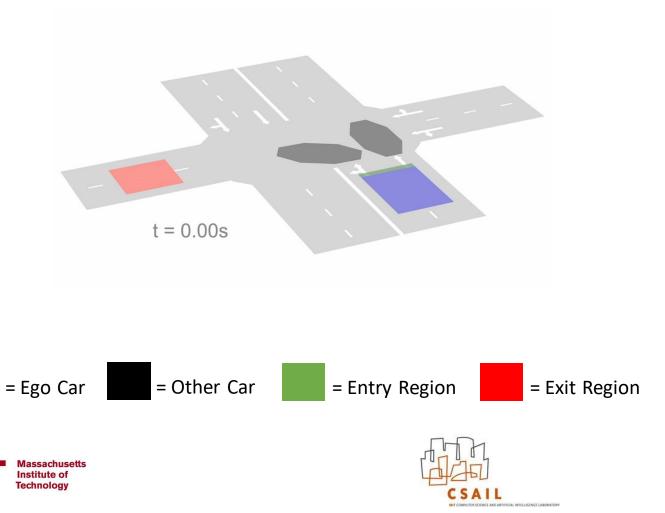


Refinement through falsification-

based counterexamples

## Generate Candidate Contracts

- Same principle as in ISRR'17
- Reachability analysis with
  - road segment
  - fixed traffic scenario
  - safety constraints
- Can leverage modular approach to verification





## Generate Counterexamples

- Refinement of contract through falsification
- We try to find traffic trajectories such that
  - The behavior is "expectable"
  - The candidate contract cannot guard the ego-car
- Direct collocation **stochastic** quadratic program (SQP)

$\max_{,\bar{u},\bar{w},\bar{x}} p(\bar{w})$	
s.t. $x_{k+1} - x_k = h f_{collocation},  \forall k = 0, \dots, T -$	1 (dynamics)
$x_k \in \mathcal{X},  \forall k = 0, \dots, T$	
$u_k \in \mathcal{U},  \forall k = 0, \dots, T-1$	
$x_0 \in \mathcal{X}_0, \ u_0 \in \mathcal{U}$	(initial conditions)
$\psi(x_T) \le 0$	(safety specification)
$\kappa_k^j(x_k) \le 0,  \forall j = 1, \dots, Q, \ \forall k = 0, \dots, T$	(contracts)
$p(\bar{w}) \ge \alpha T$	(chance constraint)





h.



## Rules of the Road and Behavior

• The safety contract of the egocar consider rules of the roads

	Table 1: Rules of the road for highway scenarios.			
No.	Rule	Constraint set		
1	Don't drive in the left lanes.	$\{0 \le x_c^0 \le L, -n_{right} \cdot W \le y_c^0 \le 0\}$		
2	If driving behind another car, keep a reasonable distance away to avoid collision if it suddenly stops.	$\{x_{c}^{i} - x_{c}^{0} \geq \epsilon_{x}^{safe} v^{0} \mid \forall i \ . \ x_{c}^{i} - x_{c}^{0} \geq 0 \land  y_{c}^{i} - y_{c}^{0}  < W\}$		
3		$\{x_{c}^{0} - x_{c}^{i} \geq \epsilon_{x}^{safe} v^{0} \mid \forall i . x_{c}^{0} - x_{c}^{i} \geq 0 \land  y_{c}^{i} - y_{c}^{0}  < W\}$		
4	Don't cross solid lines.	$\{\xi_x^\ell \leq x_c^0 \leq \zeta_x^\ell \wedge -n_{right} \cdot W \leq y_c^0 \leq 0 \mid 1 \leq \ell \leq n_{solid}\}$		
5		$\begin{array}{l} \{y_c^0 - y_c^i > W \land v^0 > v^i \mid \\ \forall i \ . \ v^i > 0 \land   x_c^0 - x_c^i   \le \epsilon_x^{overtake} \land \\ \nexists j \ . ( x_c^j - x_c^i  \le \epsilon_x^{safe-overtake} \land y_c^0 - y_c^j \le W) \} \end{array}$		
6	If another vehicle is trying to overtake you keep right and don't accelerate. If necessary, slow down and pull over.	$ \begin{split} & \{u_a^0 \leq 0 \land y_c^i - y_c^0 \geq W \land y_c^0 \leq 0 \mid \\ & \forall i \ . \ y_c^i - y_c^0 \leq 1.5W \land v^i > 0 \land  x_c^i - x_c^0  \leq \epsilon_x^{overtake} \} \end{split} $		
7	If passing oncoming traffic, leave suffi- cient lateral space to not get hit. If ob- structed, slow down.	$\{y^i_c-y^0_c\geq \epsilon^{safe}_y\mid y^i_c\geq 0 \wedge v^i\leq 0\}$		
8	Don't drive abnormally slowly such that you impede the progress of other vehi- cles. Don't drive above the speed limit or abnormally fast.	$\{ v^0 - \bar{v}  \le \epsilon_v,  v^0  \le \epsilon_v^{legal}\}$		

# • The traffic agents are modelled as **probabilistic** IDM agents

Table 2: Parameters used to model driver behaviors for the traffic cars.

	Description	Symbol		ng Style Aggressive
IDM	Reference speed (m/s) Maximum acceleration (m/s <sup>2</sup> ) Comfortable deceleration (m/s <sup>2</sup> ) Minimum-desired net distance (m) Time headway to lead vehicle (s) Free-road exponent	$v_{ref} \ a \ b \ s_0 \ t_h \ \delta$	$10 \\ 1 \\ 3 \\ 1 \\ 0.1 \\ 4$	$     \begin{array}{r}       1.5 \\       4 \\       6 \\       0.5 \\       0.05 \\       4     \end{array} $
Pure-Pursuit Lookahead distance (m) $s_{look}$ 15		10		
Perception	Range (m)	$s_{perception}$	100	100
Disturbances	Steering angle variance $(rad^2)$ Acceleration variance $(m^2/s^4)$	$\sigma_{\delta} \ \sigma_{a}$	$\begin{array}{c} 0.1 \\ 0.1 \end{array}$	$5\\2.5$







# Tuning of Behavior

#### **Strict Rule Set**

#### **Relaxed Rule Set**

No.	Rule	Constraint set
1	Don't drive in the left lanes.	$\{0 \le x_c^0 \le L, -n_{right} \cdot W \le y_c^0 \le 0\}$
	sonable distance away to avoid collision if it suddenly stops	$\{x^i_c - x^0_c \geq \epsilon^{safe}_x \; v^0 \mid \forall i \; . \; x^i_c - x^0_c \geq 0 \land  y^i_c - y^0_c  < W\}$
3	If you want to slow down, give clear warning and do not inconvenience drivers behind you.	$\{x_c^0 - x_c^i \ge \epsilon_x^{safe} v^0 \mid \forall i . x_c^0 - x_c^i \ge 0 \land  y_c^i - y_c^0  < W\}$
4	Don't cross solid lines.	$\{\xi^\ell_x \leq x^0_c \leq \zeta^\ell_x \wedge -n_{right} \cdot W \leq y^0_c \leq 0 \mid 1 \leq \ell \leq n_{solid}\}$
5	Overtake on the left when it is safe.	$ \begin{split} &\{y_c^0 - y_c^i > W \land v^0 > v^i \mid \\ &\forall i \ . \ v^i > 0 \land  x_c^0 - x_c^i  \leq \epsilon_x^{overtake} \land \\ &\nexists j \ . ( x_c^j - x_c^i  \leq \epsilon_x^{safe-overtake} \land y_c^0 - y_c^j \leq W) \} \end{split} $
6	If another vehicle is trying to overtake you keep right and don't accelerate. If necessary, slow down and pull over.	$ \begin{aligned} & \{u_a^0 \leq 0 \land y_c^i - y_c^0 \geq W \land y_c^0 \leq 0 \mid \\ & \forall i \ . \ y_c^i - y_c^0 \leq 1.5W \land v^i > 0 \land  x_c^i - x_c^0  \leq \epsilon_x^{overtake}  \end{aligned} $
	If passing oncoming traffic, leave sufficient lateral space to not get hit. If ob- structed, slow down.	
8	Don't drive abnormally slowly such that you impede the progress of other vehi- cles. Don't drive above the speed limit or abnormally fast.	$\{ v^0 - \bar{v}  \le \epsilon_v,  v^0  \le \epsilon_v^{legal}\}\$

## Normal Driving Style

#### **Aggressive Driving Style**

Table 2: Parameters used to model driver behaviors for the traffic cars.

				ng Style
	Description	$\operatorname{Symbol}$	Normal	Aggressive
IDM	Reference speed (m/s) Maximum acceleration (m/s <sup>2</sup> ) Comfortable deceleration (m/s <sup>2</sup> ) Minimum-desired net distance (m) Time headway to lead vehicle (s) Free-road exponent	$v_{ref} \ a \ b \ s_0 \ t_h \ \delta$	$10 \\ 1 \\ 3 \\ 1 \\ 0.1 \\ 4$	$     \begin{array}{r}       1.5 \\       4 \\       6 \\       0.5 \\       0.05 \\       4     \end{array} $
Pure-Pursuit	Lookahead distance (m)	$s_{look}$	15	10
Perception	Range (m)	$s_{perceptio}$	100	100
Disturbances	Steering angle variance $(rad^2)$ Acceleration variance $(m^2/s^4)$	$\sigma_\delta \ \sigma_a$	$\begin{array}{c} 0.1 \\ 0.1 \end{array}$	$5 \\ 2.5$

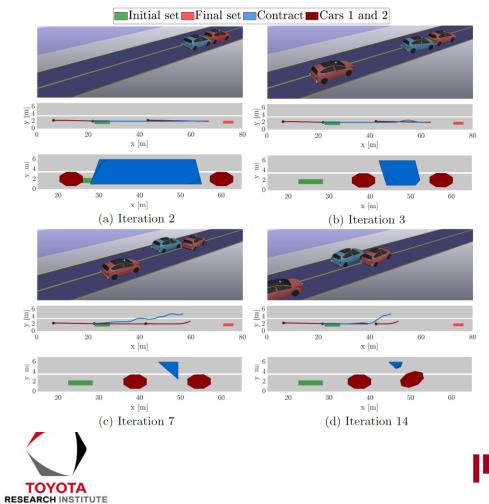




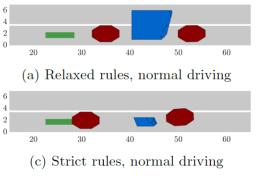


## Results

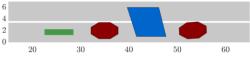
• Multiple iterations, same rules

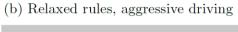


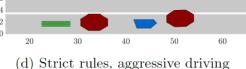
• Same iterations, different rules



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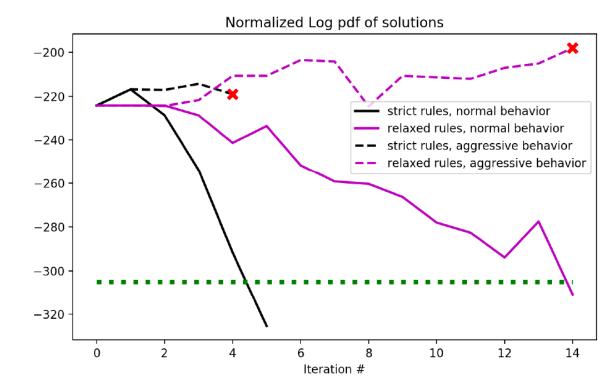






# Explainability

- Probabilistic Modelling of Agents
- Falsification is used to capture a wide array of likely counterexamples
- Probabilities help to assess the usefulness of contracts
- Rules allow to tune behaviors









## Conclusion

- Probabilistic safety contracts through verification and falsification
- Scalability and explainability
- Outlook:
  - More scenarios
  - Advanced rules of the roads
  - Intuitive contracts → use logical predicates?

